



# Influence of plankton metabolism and mixing depth on CO<sub>2</sub> dynamics in an Amazon floodplain lake

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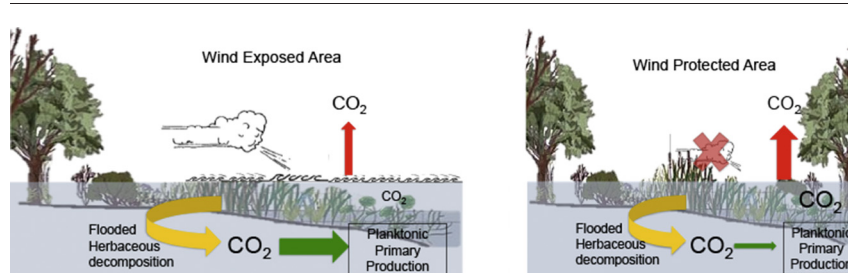
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## HIGHLIGHTS

- Large diel variations on CO<sub>2</sub> dynamics
- Higher plankton metabolism associated with wind mediated mixing.
- Phytoplankton consumption of CO<sub>2</sub> derived from macrophyte decomposition.
- Planktonic respiration is greater in solar exposed chambers.
- CO<sub>2</sub> emissions enhanced during exceptional drought.

## GRAPHICAL ABSTRACT



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## ABSTRACT

We investigated plankton metabolism and its influence on carbon dioxide (CO<sub>2</sub>) dynamics in a central Amazon floodplain lake (Janauacá, 3°23' S, 60°18' W) from September 2015 to May 2016, including a period with exceptional drought. We made diel measurements of CO<sub>2</sub> emissions to the atmosphere with floating chambers and depth profiles of temperature and CO<sub>2</sub> partial pressure (pCO<sub>2</sub>) at two sites with differing wind exposure and proximity to vegetated habitats. Dissolved oxygen (DO) concentrations were monitored continuously during day and night in clear and dark chambers with autonomous optical sensors to evaluate plankton metabolism. Overnight community respiration (CR), and gross primary production (GPP) rates were higher in clear chambers and positively correlated with chlorophyll-*a* (Chl-*a*). CO<sub>2</sub> air-water fluxes varied over 24-h periods with changes in thermal structure and metabolism. Most net daily CO<sub>2</sub> fluxes during low water and mid-rising water at the wind exposed site were into the lake as a result of high rates of photosynthesis. All other measurements indicated net daily release to the atmosphere. Average GPP rates (6.8 gC m<sup>-2</sup> d<sup>-1</sup>) were high compared with other studies in Amazon floodplain lakes. The growth of herbaceous plants on exposed sediment during an exceptional drought led to large carbon inputs when these areas were flooded, enhancing CR, pCO<sub>2</sub>, and CO<sub>2</sub> fluxes. During the period when the submerged herbaceous vegetation decayed phytoplankton abundance increased and photosynthetic uptake of CO<sub>2</sub> occurred. While planktonic metabolism was often autotrophic (GPP:CR > 1), CO<sub>2</sub> out-gassing occurred during most periods investigated indicating other inputs of carbon such as sediments or soils and wetland plants.

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## 1. Introduction

Aquatic ecosystems on Amazonian floodplains are important components of the regional carbon (C) cycle (Richey et al., 2002; Abril et al., 2013; Melack, 2016; Nagy et al., 2016). A recent estimate (Melack, 2016) indicates that total CO<sub>2</sub> emission from these fluvial wetlands is comparable to that emitted by rivers and streams globally (Raymond et al., 2013) or even higher based on the more conservative estimate of Lauerwald et al. (2015). Large emissions of both CO<sub>2</sub> and methane from Amazon floodplains and their downstream river channels are sustained by organic carbon derived from a variety of floodplain sources (Melack et al., 2004; Engle et al., 2007; Abril et al., 2013). However the temporal dynamics of these contributions at inter-annual, seasonal and diel time-scales is not well understood.

One source of organic C to Amazon floodplain lakes is emergent aquatic vegetation, such as floating herbaceous plants and floodplain forests, that fix CO<sub>2</sub> from the atmosphere and then release dissolved and particulate organic matter and respired CO<sub>2</sub> to the water column (Melack and Engle, 2009; Melack et al., 2009). Organic matter and CO<sub>2</sub> are also derived from phytoplankton and attached algae. Organic C from all these sources is oxidized to CO<sub>2</sub> by planktonic and sedimentary microbial respiration (Waichman, 1996; Engle et al., 2007; Ward et al., 2016). CO<sub>2</sub> is also added to the water column from groundwater and upland streams, benthic communities and other aquatic heterotrophs (Hamilton et al., 1995; Abril et al., 2013). Hence, the excess of dissolved CO<sub>2</sub> in Amazon floodplain lakes results from inputs of CO<sub>2</sub> from several sources with no consensus regarding the relative importance of each and how their contributions vary in time and space (Richey et al., 2002; Johnson et al., 2008; Abril et al., 2013; Borges et al., 2015a; Ward et al., 2016; Melack, 2016). Furthermore, these inputs are expected to vary seasonally in synchrony with the large fluctuation in water level (about 10 m) observed along the Amazon River main channel between high-water and low-water (Junk et al., 1989). This seasonal flood pulse with distinct hydrological phases inundates an extensive floodplain occupied by a mosaic of wetland habitats including alluvial forests, grasslands, and open water environments. During the annual flood cycle, the distribution of flooded habitats and their morphometry (surface area, fetch, depth) vary, leading to changes in thermal stratification and mixing, and in limnological parameters, including chlorophyll *a* (Chl-*a*), dissolved organic carbon (DOC), and dissolved oxygen (DO), that influence C biogeochemistry (Richey et al., 1988; Devol et al., 1988, 1995; Melack and Forsberg, 2001; Abril et al., 2013).

Diel variations in CO<sub>2</sub> or DO can be used to quantify ecosystem metabolic processes. Community respiration (CR) of organic C consumes oxygen, producing CO<sub>2</sub> as a metabolic product, and CO<sub>2</sub> is assimilated into organic C, releasing oxygen, during gross primary production (GPP). GPP and CR can be estimated from changes in CO<sub>2</sub> or DO in dark and light bottles containing lake water during incubations. Alternatively, GPP can be derived independently using stable or radioactive C isotopes (e.g., Steemann Nielsen, 1952; Quay et al., 1995; Bogard and del Giorgio, 2016). The balance or ratio between GPP and CR can be used to infer the autotrophic or heterotrophic nature of an aquatic ecosystem (Odum, 1956; del Giorgio et al., 1999; Cole et al., 2000). Rarely are changes in DO and CO<sub>2</sub> measured together on a diel basis, allowing for the evaluation of aerobic metabolism and its influence on CO<sub>2</sub> dynamics on this time scale. These measurements could also be used to investigate aquatic ecosystems with net autotrophy that have consistent CO<sub>2</sub> out-gassing due to allochthonous and internal CO<sub>2</sub> inputs to the aerobic mixed layer (Dubois et al., 2009; Borges et al., 2014; Bogard and del Giorgio, 2016).

The oxidation of organic C substrates to CO<sub>2</sub> by heterotrophic bacteria in Amazon aquatic ecosystems represents an important CO<sub>2</sub> flux in the regional C balance (Richey et al., 1990; Quay et al., 1992; Waichman, 1996; Engle et al., 2007; Ward et al., 2013). Previous measurements of planktonic CR in Amazon rivers and lakes were made by incubating unfiltered water in the dark for a few hours to days

(e.g., Benner et al., 1995; Waichman, 1996; Ward et al., 2013, 2016; Vidal et al., 2015). This practice ignores processes that vary over 24 h-periods, such as algal photosynthesis, that can influence overnight or dark respiration (Sadro et al., 2011, 2014). Different patterns of overnight respiration have been associated with variations in terrestrial inputs, lake productivity and lake residence time (Sadro et al., 2014). These factors might vary in time and space in Amazon floodplain lakes due to the heterogeneity of these systems (Melack and Forsberg, 2001). Furthermore, direct estimates of net planktonic community production (NCP) that include daytime respiration are uncommon in Amazonian aquatic ecosystems (Melack and Forsberg, 2001).

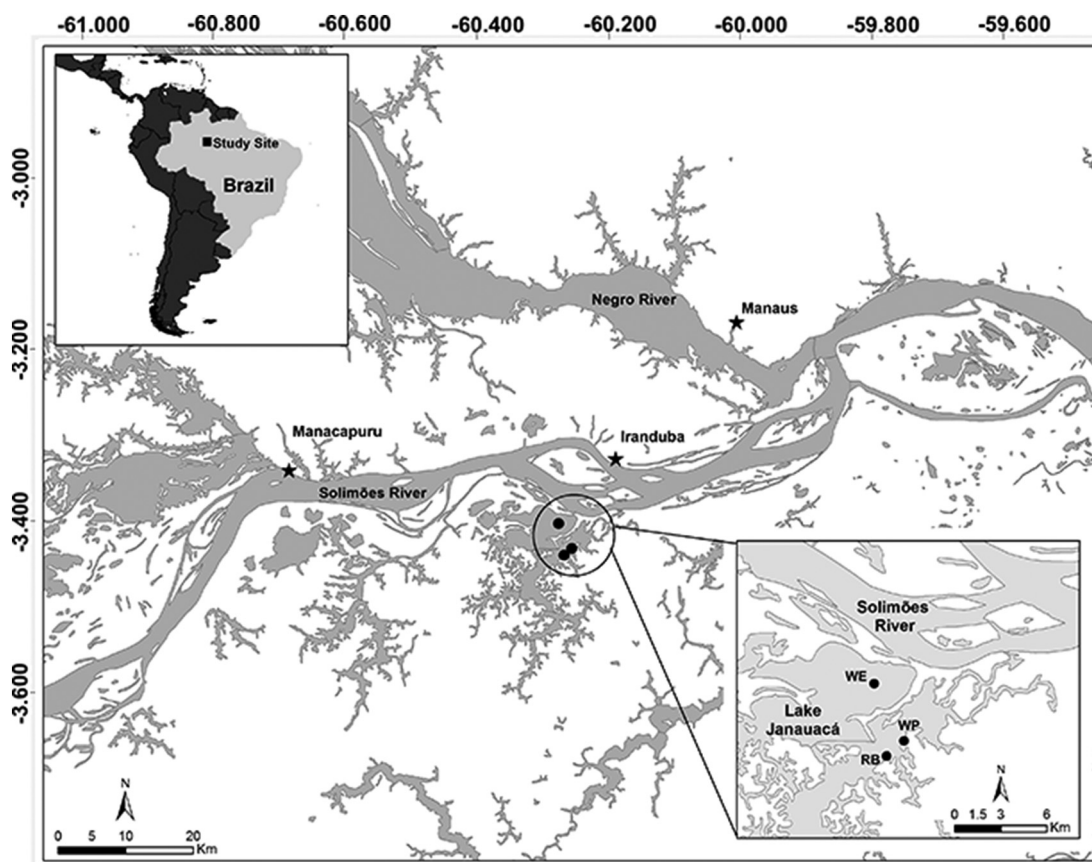
Concentrations of CO<sub>2</sub> in lowland Amazon waters vary over two orders of magnitude and tend to be higher during high water periods and in areas near vegetated habitats (Johnson et al., 2008; Rudorff et al., 2011; Abril et al., 2013; de Rasera et al., 2013; Borges et al., 2015a; Scofield et al., 2016). CO<sub>2</sub> emissions are positively correlated to wind velocity in open waters of lakes and rivers in the Amazon (Alin et al., 2011; Polsenaere et al., 2013; Scofield et al., 2016). Changes in inundated area, vegetated habitats, NCP, wind exposure, fetch, water depth and mixing patterns are also likely to contribute to the observed variations in CO<sub>2</sub> concentrations and fluxes. Variations in CO<sub>2</sub> concentrations and fluxes linked to seasonal floods are likely to increase during extreme floods (Almeida et al., 2017), but the effect of droughts on CO<sub>2</sub> dynamics is unknown although they may be increasing in frequency (Gloor et al., 2013; Marengo and Espinoza, 2016).

Here we use a combination of in situ measurements and enclosed autonomous sensors to investigate seasonal and diel variations in planktonic metabolism (GPP, CR and NCP) and their influence on CO<sub>2</sub> concentrations and emissions in open water habitats of a central Amazon floodplain lake, Lake Janauacá. The large seasonal and spatial variations in the morphometry, hydrology and limnological characteristics encountered in the open waters regions of this lake (Brito et al., 2016) were expected to result in significant variations in wind exposure, lake depth, diel mixing and proximity to emergent vegetation, affecting both CO<sub>2</sub> dynamics and plankton metabolism. CR rates obtained overnight in chambers previously exposed and not exposed to sunlight were compared, and implications for GPP estimates are explored. The combined influence of NCP, aquatic plants, and vertical mixing on CO<sub>2</sub> dynamics in open water floodplain environments are examined together with the implications for the regional C balance. We demonstrate that the plankton community plays a role in regulating CO<sub>2</sub> dynamics, and is capable of absorbing a portion of the dissolved CO<sub>2</sub> resulting from dissolved CO<sub>2</sub> produced during the large scale die-off and decomposition of herbaceous vegetation.

## 2. Methods

### 2.1. Study site

Lake Janauacá (3°23' S, 60°18' W; altitude 32 m) is located on the right floodplain of the Solimões River, 40 km southeast of Manaus (Fig. 1). It has a local watershed area of 770 km<sup>2</sup> and a floodable area that varies from 23 km<sup>2</sup> at low water to 390 km<sup>2</sup> at high water, with mean annual rainfall of 1980 mm (Pinel et al., 2015). Lake depths vary spatially and seasonally, ranging from in average 1 to 3 m at low water and from 9 to 13 m during high water. The lake is connected to the Solimões River year-round by a 12 km long channel (100–200 m wide). The catchment around the southern part of the lake drains forested and agricultural areas with black and clear water streams of low conductivity (10–30 μS cm<sup>-1</sup>) and low suspended solid concentrations (<20 mg L<sup>-1</sup>). This part of the lake is dendritic and occupied mostly by open water habitats. An extensive floodplain occupies the northern portion of the lake. The composition of aquatic habitats in this region varies considerably during the year with flooded forests and floating herbaceous macrophytes (*Paspalum repens* P. J. Bergius, *Oryza rufipogon* Griff., *Luziola spruceana* Benth. ex Döll, *Eugenia inundata* D.C.)



**Fig. 1.** Map showing the location of the Janauacá floodplain. WE is the location of the wind exposed site and WP is the wind protected site. RB is the research base where the meteorological station was located.

dominating at rising to high water and open water dominating at low water. The lake receives seasonal inputs of Solimões River water containing moderate concentrations of dissolved inorganic nitrogen ( $12 \mu\text{mol L}^{-1}$ ), soluble reactive phosphorus ( $0.8 \mu\text{mol L}^{-1}$ ), total nitrogen ( $23 \mu\text{mol L}^{-1}$ ), total phosphorus ( $2.4 \mu\text{mol L}^{-1}$ ) and suspended sediments ( $247 \text{ mg L}^{-1}$ ) (Forsberg et al., 1988).

## 2.2. Experimental design

Measurements were made at two open water sites that differed in wind exposure and proximity to vegetated habitats, referred to as the wind exposed (WE) ( $3^{\circ}22.6' \text{ S}$ ,  $60^{\circ}15.2' \text{ W}$ ) and wind protected (WP) ( $3^{\circ}24.4' \text{ S}$ ,  $60^{\circ}14.8' \text{ W}$ ) sites (Fig. 1). The WE site had a fetch of 3 to 6 km and was located 1.5 to 3 km from emergent vegetation, while the WP site had a fetch of 100 m to 1 km and was 30 to 100 m from the nearest emergent vegetation, depending on the season. Depth profiles of carbon dioxide partial pressure ( $p\text{CO}_2$ ) and surface  $\text{CO}_2$  emissions were measured at both sites, together with planktonic metabolism (GPP, NCP, CR). Environmental parameters that potentially influenced these variables were also measured including: meteorological variables (wind speed, photosynthetically available radiation (PAR) and air temperature), thermal structure, dissolved organic C (DOC), chlorophyll-*a* (Chl-*a*), colored dissolved organic matter (CDOM) and total suspended sediments (TSS).

Multiple measurements were made during 24-hour periods to investigate the effects of diel variations in metabolism and mixing on  $\text{CO}_2$  dynamics, with the exception of May 2016 when only one measurement was made. Measurements were made on six occasions between September 2015 and May 2016, representing different phases of the hydrological cycle: September 2015 (falling water), October 2015 (low water), January 2016 (early rising water), February (mid rising water),

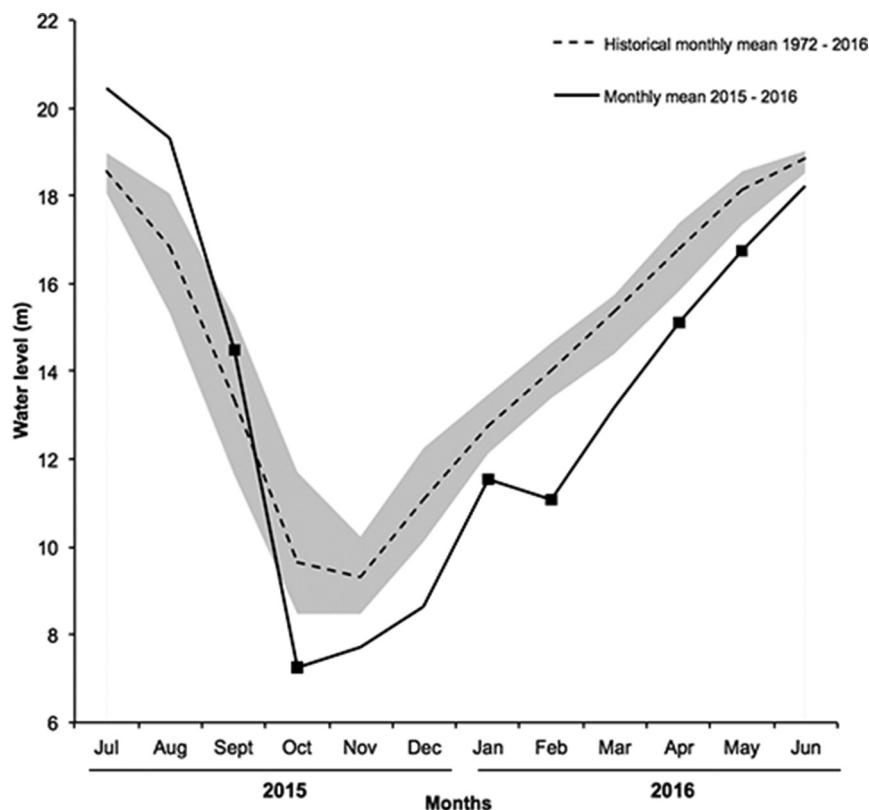
April 2016 (late rising water) and May 2016 (early high water). The low water period was atypical and represented an exceptional drought, where the monthly average water levels were below historical values for a similar period due to unprecedented warming and lower rainfall throughout much of the Amazon Basin (Jiménez-Muñoz et al., 2016) (Fig. 2).

## 2.3. Environmental variables and sampling

Each time  $p\text{CO}_2$  and  $\text{CO}_2$  emissions were measured, depth profiles of conductivity, temperature and dissolved oxygen were obtained. Dissolved oxygen and temperature were measured with a combined temperature/oxygen meter (Yellow Springs Inst. Co., model Pro-ODO, DO accuracy of  $0.2 \pm 0.1 \text{ mg L}^{-1}$ , temperature accuracy  $\pm 0.2^{\circ} \text{ C}$ , resolution  $0.1^{\circ} \text{ C}$ ) at 0.5 m intervals. Temperature and conductivity profiles were also measured with a CTD profiler (Castway, Sontek Inst. Co) sampling at 4 Hz with data reported at 0.3 m intervals. Wind speed and direction sensors (Onset, Inc.) were deployed at a height of 2 m on a floating buoy at each site.

Vertical profiles of PAR were determined once during each 24-hour period using an underwater sensor (Licor LI-192 SB). Measurements were made at 0.1 m intervals to the depth where PAR was reduced to 1% of surface irradiance, assumed to represent the depth of the euphotic zone ( $Z_{\text{eu}}$ ). The downwelling extinction coefficient ( $E_d$ ) was calculated from the slope of a linear regression between the  $\ln(\text{PAR})$  and depth. Incident PAR irradiance was measured simultaneously with another sensor (Licor, LI-190). PAR and air temperature were also recorded continuously at a meteorological station (HOBO-Onset) mounted on a floating research station (Fig. 1) about 4 km from the WE site and 1.5 km from the WP site.





**Fig. 2.** Historical water levels based on 44 years of daily water level records for the Solimões River at the Manacapuru gauging station. Historical curve (dashed line) represents 44 year monthly means—grey section indicates historic range in monthly means. Solid line indicates observed variation in mean monthly water levels from July of 2015 to June of 2016. Data were downloaded from the Brazilian National Agency of Waters (<http://www.snirh.gov.br/hidroweb/>).

Water for Chl-*a*, DOC, TSS, and CDOM analyses was collected at both stations at 0.5 m and stored in insulated boxes until processing. Water for Chl-*a* was filtered through glass fiber GF/F filters (Whatman), using a manual vacuum pump in the field, or with an electrical vacuum pump at low pressure (<10 psi) at the floating laboratory. Filters were maintained frozen and in the dark until analysis. Chl-*a* was determined spectrophotometrically, following filter maceration and extraction in 90% acetone, using the trichromatic equations of [Strickland and Parsons \(1972\)](#). TSS was determined by weighing particulates collected on pre-weighed Millipore HA filters (0.45  $\mu\text{m}$  pore size), following the method of [Meade et al. \(1985\)](#). DOC samples were filtered through pre-combusted (450–500 °C for 1 h) glass fiber GF/F filters (Whatman) collected in pre-cleaned (10% HCl wash, deionized water rinse) and pre-combusted (450–500 °C for 1 h) borosilicate vials and then stored at 4 °C until analysis. DOC was determined using a total organic carbon analyzer (TOC-V Shimadzu). Filtered water for spectral analysis was collected in amber borosilicate vials, stored refrigerated (4 °C) in the dark, and then scanned from 180 to 700 nm in a UV-VIS spectrophotometer (Shimadzu UV-VIS 1800) using a 1 cm quartz cuvette and ultra pure water as reference. DOC specific absorbance ( $a_{350}$ : DOC  $\text{mg L}^{-1} \text{m}^{-1}$ ) and DOC-normalized Chl-*a* ( $\mu\text{g mg}^{-1}$ ) were used to infer DOC quality ([Tranvik and Bertilsson, 2001](#)).

#### 2.4. Metabolic rates

Planktonic NCP, GPP and CR were estimated from changes in DO in water collected from the upper 0.5 m and incubated in situ in three dark and three transparent bottles (2.1 L polystyrene bottles, sealed with silicon stoppers) containing autonomous optical-DO/temperature loggers (PME Inc., MiniDOT®). Lake water for incubations was sampled at the incubation depth with a peristaltic pump (Masterflex, Cole & Parmer Co.) and transferred directly into the incubation bottles,

previously cleaned with HCl (10%) and rinsed with distilled water in the laboratory and then rinsed with lake water, together with the logger, in the field. The bottles were overfilled with three times the bottle volumes and closed without bubbles. Dark replicates ( $n = 3$ ) were covered with dark blue tape, then wrapped in aluminum foil and covered with black plastic bags. All transparent ( $n = 3$ ) and dark bottles were incubated at 0.3 m for a minimum of 30 h and a maximum of 72 h. Incubations were done in the open water sampling sites with the exception of April and May when incubations were performed at an open water site close to the floating lab. Profiles of underwater PAR were measured to estimate  $E_d$  as described above.

##### 2.4.1. Daily volumetric rates

DO changes ( $\Delta\text{DO}$ ) in the incubation bottles were calculated for 10 min intervals and then averaged for 30 min intervals. These average values were used to estimate metabolic rates using values recorded during the first 24 h of incubations. Incubations began at ~6:00 AM ( $t_0$ ) which corresponded to sunrise. NCP was calculated by multiplying the  $\Delta\text{DO}$  values averaged for the transparent bottles during the daylight period (6:00 h to 18:00 h) by the number of intervals in this period ( $n = 24$ ). We assumed that CR was partially fueled by organic matter produced by phytoplankton during the day and that  $\Delta\text{DO}$  measured in the dark bottles during this period probably underestimate this rate ([Sadro et al., 2011, 2014](#)). CR was therefore estimated from the sum of the average 30 min  $\Delta\text{DO}$  values measured in the transparent bottles at night (18:00 h to 6:00 h), after exposition to light during the day, divided by the number of 30 min  $\Delta\text{DO}$  intervals during this period ( $n = 24$ ). We then multiplied this rate by the total number of 30 min intervals in a 24-hour day ( $n = 48$ ), assuming that night and daytime respiration rates were equivalent. This value of daily respiration ( $\text{CR}_{\text{light}}$ ) was compared with a parallel estimate of community respiration ( $\text{CR}_{\text{dark}}$ ), determined from  $\Delta\text{DO}$  values measured throughout the day in the dark

bottles, to evaluate the importance of photosynthetically derived C during the preceding day for planktonic respiration measured overnight. Differences in how the two estimates were obtained are shown in the Supplementary material (Suppl. mat. Fig. 1). GPP was calculated as the difference between NCP and daily  $CR_{light}$  rates, as  $GPP = NCP - CR_{light}$ , where  $CR_{light}$  is expressed as a negative value.

#### 2.4.2. Daily depth integrated rates

Daily volumetric  $CR_{light}$  rates were integrated through the daily average surface mixed layer depth ( $Z_{mix}$ ) and are expressed as  $CRL_{Z_{mix}}$ . The value of  $Z_{mix}$  was estimated from the DO and temperature profiles made with the YSI probe and with the CTD profiles. We utilized a minimum temperature gradient of 0.2 °C over the depth spacing of the temperature profiles to identify the mixing depth. We calculated a  $Z_{mix}$  for each sampling time and averaged all values obtained during 24 h to estimate daily  $Z_{mix}$ .

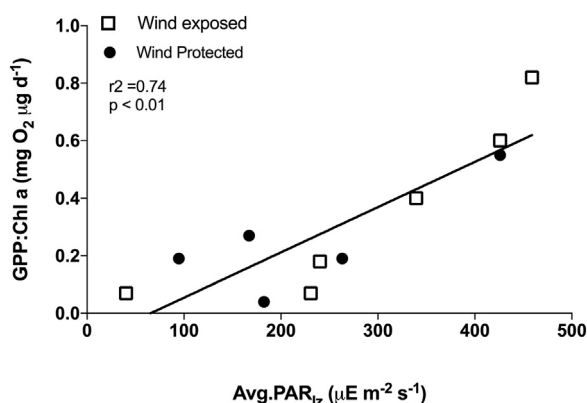
NCP is a light-dependent process and must be integrated with depth to estimate daily integral production. To do this, we first calculated the average PAR in the mixed layer  $I_{Z_{mix}}$  ( $\mu E\ m^{-2}\ s^{-1}$ ) according to Riley (1957),

$$I_{Z_{mix}} = \frac{I_0(1 - e^{(-Z_{mix} E_d)})}{Z_{mix} E_d}$$

$Z_{mix}$  is the depth of the average daily mixed layer (m),  $E_d$  ( $m^{-1}$ ) is the downwelling attenuation coefficient, and  $I_0$  ( $\mu E\ m^{-2}\ s^{-1}$ ) is daily mean incident irradiance. Second, we used an empirical relation (Fig. 3) between volumetric GPP, normalized per chlorophyll and the average PAR in the incubated chambers, to estimate the GPP in the mixed layer ( $GPP_{Z_{mix}}$ ) for each sampling site and each sampling occasion. Finally, we subtracted the integrated volumetric respiration rate measured in the light bottles ( $CRL_{Z_{mix}}$ ) from the estimated  $GPP_{Z_{mix}}$  rate to obtain our integrated NCP rate ( $NCP_{Z_{mix}}$ ). This calculation was necessary because the relationship between NCP normalized to chlorophyll and average incident light was not significant. All rates were converted to C equivalent values using a respiratory quotient of 1. All integrated rates are expressed in  $g\ C\ m^{-2}\ d^{-1}$  to be comparable with the  $CO_2$  fluxes, and are expressed with the subscript  $Z_{mix}$ . A summary table with all codes and abbreviation is given in Supplementary material (Suppl. mat. Table 1).

#### 2.5. $CO_2$ dynamics and comparisons with metabolic rates

Levels of  $pCO_2$  were measured with an off-axis integrated cavity output spectrometer (Ultraportable Greenhouse Gas Analyzer - UGGA, Los



**Fig. 3.** Volumetric gross planktonic primary production (GPP) normalized by chlorophyll-a (Chl-a), as a function of average photosynthetically active radiation (PAR) incident on the incubated chambers, measured during incubations in situ. Open squares represent data obtained from the wind exposed site and filled dots from the wind protected site.  $p$  value was obtained from Pearson's correlation. Volumetric GPP was calculated using respiration rates from the light bottles.

Gatos Research) connected to a marble-type equilibrator (Frankignoulle et al., 2001), through which flowed lake water was pumped from the upper mixed layer (0.5 m) or from different depths in the case of  $pCO_2$  profiles. The equilibrator consisted of a Plexiglas cylinder (80 cm long) filled with marbles with water entering at the top at a rate of 2–3  $L\ min^{-1}$  and exiting at the bottom. The UGGA has an internal pump (500  $mL\ min^{-1}$ ) that was connected by an inlet tube at the top of the equilibrator and by an outlet tube at the bottom of the equilibrator allowing the air to circulate through the equilibrator in a closed loop. We used three certified standards ( $pCO_2$  of 1010 and 5000 ppmv, Air Liquid USA and 399 ppmv, White Martins, Manaus, Brazil) to check the factory calibration of the UGGA before each field trip. We used a mixture of argon and nitrogen (White Martins, Manaus, AM, Brazil) passing through fresh soda lime to set the zero, and let the standards flow for at least 3 min through the UGGA. The values from the last minute were averaged and the standard deviation was used to define the precision of the measurements. The average from each standard was plotted to check the linearity of the  $CO_2$  signal, and the slopes and intercepts were compared between calibrations. The precision of the measurements varied between 0.04 and 3.6 ppmv, the accuracy was  $104 \pm 3.6\%$  for the three standards utilized, and the slopes between calibration events varied from 1.03 to 1.1 with intercepts varying from 1.7 to 33.6.

$CO_2$  fluxes across the air-water interface were measured using floating chambers connected in a closed loop to the UGGA. Details of the chamber design and deployment method are described in Barbosa et al. (2016). During each flux measurement,  $CO_2$  was measured at 10 s intervals for 10 min. All measurements were made in replicate from a moored boat and no surface currents were observed during measurements. The chambers were exposed to ambient air between measurements to flush the system. Fluxes were calculated from the slope of the partial pressure versus time, which was linear with  $r^2 > 0.9$ . Fluxes were first calculated in  $\mu mol\ m^{-2}\ s^{-1}$  according to the ideal gas law, and then converted to  $g\ C\ m^{-2}\ d^{-1}$ . The first minute of each measurement was excluded to allow the system to equilibrate.

To investigate the contribution of NCP to the  $CO_2$  flux, the average daily  $CO_2$  in-gassing or out-gassing flux measured with the chambers was compared to the net  $CO_2$  flux derived from  $NCP_{Z_{mix}}$ . For this calculation, negative  $NCP_{Z_{mix}}$  values were considered positive  $CO_2$  fluxes and positive  $NCP_{Z_{mix}}$  values were considered negative  $CO_2$  fluxes. The differences between these two fluxes was defined as  $\Delta$  emission (Bogard and del Giorgio, 2016). Negative  $\Delta$  emission values denoted situations where the net  $CO_2$  flux derived from NCP was greater than the measured  $CO_2$  emission and, thus, sufficient to sustain this emission. When values of  $\Delta$  emissions were positive, a source of  $CO_2$  in addition to pelagic NCP was required to sustain the observed emission flux.

We used the ratio between  $GPP_{Z_{mix}}$  and  $CRL_{Z_{mix}}$  ( $GPP_{Z_{mix}}:CRL_{Z_{mix}}$ ) to infer about the planktonic autotrophic or heterotrophic condition at each sampling site during all campaigns. A ratio of  $GPP_{Z_{mix}}:CRL_{Z_{mix}}$  greater than one indicates planktonic autotrophy, while the contrary heterotrophy. A summary flow chart of the methodology is given at the Supplementary material (Suppl. mat. Fig. 2).

#### 2.6. Statistical analyses

We compared all metabolic rates,  $pCO_2$  and  $CO_2$  fluxes between WP and WE sites with paired  $t$ -tests, after testing for normality and homoscedasticity. Non-normal variables were transformed before analysis.  $NCP_{Z_{mix}}$  had negative values and was cubic root transformed.  $\Delta$  emission also had negative values but remained non-normal after cubic root transformation. In this case, the absolute value of the most negative values was added to all values to create a positive numeric series which was then log transformed.  $CRL_{Z_{mix}}$ ,  $GPP_{Z_{mix}}$ ,  $Z_{mix}$ , Chl-a, and depth were all log transformed. Pearson correlations were used to determine relationships between DOC characteristics and CR rates, and between  $GPP:Chl-a$  and PAR. A correlation matrix, including all variables was

used to identify auto-correlated variables. A principal component analysis was then used to define main components and help select variables for use in multiple linear regression models.  $\Delta$  emissions,  $p\text{CO}_2$  and  $\text{CO}_2$  fluxes were used as response variables in different regression models. The lowest value of Akaike Information Criterion (AIC) index was used to generate the best regression models. We adopted the 0.05 significance level throughout. Statistical analyses and graphics were done with GraphPad Prism Version 7.01 and with R version 3.3.1 (R Core Team, 2015). Ocean Data View (Schlitzer, 2017) was used to plot the diel  $\text{CO}_2$ , DO and temperature depth profiles.

### 3. Results

#### 3.1. Environmental variables

Environmental variables varied considerably between sampling periods and sites. Water depths followed the hydrological cycle (Fig. 2). Average  $Z_{\text{mix}}$  depths were reduced in periods with water depths  $< 3$  m (low water to mid rising water) and greater in periods with depths  $> 5$  m (falling water, late rising water and early high water). During the diel cycle, shallow (0.3 m)  $Z_{\text{mix}}$  values were observed during the day in all periods at the WP site and in the majority of times at the WE site, except during falling water and early rising water when full mixing occurred (Figs. 4 and 5, Suppl. mat. Fig. 4A.; Suppl. mat. Table 2). Values of  $E_d$  and  $Z_{\text{eu}}$  indicated that water was more turbid during low water and early rising water (high  $E_d$  and low  $Z_{\text{eu}}$ ), than during falling water (high  $E_d$  and low  $Z_{\text{eu}}$ ) (Suppl. mat. Table 2). Average daily incident PAR had a similar range at both sites, but lower values occurred during early rising water and early high water at the WE site and during late rising and early high water at the WP site (Suppl. mat. Table 2) in the days that we performed our 24 h experiments. Wind speeds were higher at the WE (average =  $1.9 \pm 1.3$ , range:  $< \pm \text{limit}$  (d.l.) to  $11.6 \text{ m} \cdot \text{s}^{-1}$ ,  $n = 9125$ ) site compared to the WP site (average =  $0.4 \pm 0.8$ , range:  $< \text{d.l.}$  to  $5.0 \text{ m} \cdot \text{s}^{-1}$ ,  $n = 9005$ ) (Paired  $t$ -test,  $p < 0.01$ ), where the majority (80%) of the wind speeds were  $< \text{d.l.}$  of the anemometer of  $0.4 \text{ m} \cdot \text{s}^{-1}$  (Suppl. mat. Fig. 3).

Both sites had DOC concentrations close to or  $> 5 \text{ mg L}^{-1}$  in periods when decomposition of herbaceous plants occurred: mid rising water and end of rising water. Higher CDOM values were also observed at both sites during these periods. Chl- $a$  ranged from 5 to  $106 \mu\text{g L}^{-1}$  at the WE site and from 4 to  $27 \mu\text{g L}^{-1}$  at the WP site. Both sites had high Chl- $a$  values during mid rising water (WE =  $106 \mu\text{g L}^{-1}$ ; WP =  $18 \mu\text{g L}^{-1}$ ) and low water (WE =  $54 \mu\text{g L}^{-1}$ ; WP =  $27 \mu\text{g L}^{-1}$ ) (Suppl. mat. Table 2).

The amplitude of the diel variation (difference between maximum and minimum) in near-surface DO concentrations was approximately  $3 \text{ mg L}^{-1}$  at the WP site for all periods investigated. The amplitude of the diel variation of DO at the WE site were greater, and ranged from 7.2 to  $13.3 \text{ mg L}^{-1}$  during low water (Fig. 4), and from 4.6 to  $11.1 \text{ mg L}^{-1}$  during mid rising water (Fig. 5), but only  $0.8 \text{ mg L}^{-1}$  during early rising water (Suppl. mat. Fig. 4B).

#### 3.2. Metabolic rates

##### 3.2.1. Volumetric metabolic rates

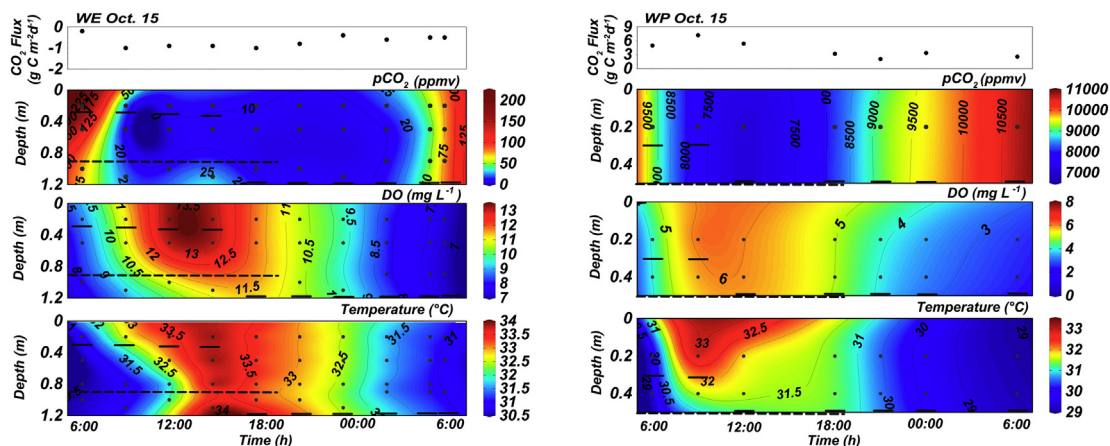
$\text{CR}_{\text{light}}$  rates ( $2.5 \pm 1.4$ ) were significantly different from  $\text{CR}_{\text{dark}}$  rates ( $1.7 \pm 0.7$ ) (Fig. 6, paired  $t$ -test,  $p = 0.03$ ) and, on average, 52% higher, indicating the importance of C inputs from light-mediated processes (e.g., algal photosynthesis) for community respiration. The positive correlation observed between  $\text{CR}_{\text{light}}$ ,  $\text{CR}_{\text{dark}}$  and Chl- $a$  values at both sites (Fig. 6,  $r^2 = 0.47$ ,  $p < 0.05$ ,  $n = 11$ ) supports this hypothesis. Furthermore,  $\text{GPP}_{\text{zmix}}$  values were, on average, 20% higher when calculated with  $\text{CR}_{\text{light}}$ . We conclude that  $\text{CR}_{\text{light}}$  is the most appropriate parameter to represent plankton CR and hereafter we will present only results for  $\text{CR}_{\text{light}}$  and parameters derived from  $\text{CR}_{\text{light}}$  (such as integrated CR which is  $\text{CRL}_{\text{zmix}}$ ) from this point on.

$\text{GPP}_{\text{light}}$ , NCP and  $\text{CR}_{\text{light}}$  values were highest during low water and lowest during early rising water, with mid to high values recorded during mid-rising water for both sites. NCP rates were 2.8 times higher, on average, at the WE site than at the WP site, varying between  $-0.7$  and  $2.4 \text{ mg O}_2 \text{ L}^{-1} \text{ d}^{-1}$  at the WP site, and from  $-0.1$  to  $4.8 \text{ mg O}_2 \text{ L}^{-1} \text{ d}^{-1}$  at the WE site.  $\text{GPP}_{\text{light}}$  varied between 0.4 and  $5.1 \text{ mg O}_2 \text{ L}^{-1} \text{ d}^{-1}$  at the WP site and between 1.1 and  $9.9 \text{ mg O}_2 \text{ L}^{-1} \text{ d}^{-1}$  at the WE site.  $\text{GPP}_{\text{light}}$  normalized to Chl- $a$  increased linearly with average incident PAR ( $r^2 = 0.74$ ,  $p < 0.01$ ,  $n = 11$ ) (Fig. 3).

$\text{CR}_{\text{light}}$  rates did not differ significantly between sites (paired  $t$ -test,  $p = 0.058$ ) but were generally higher at the WE site (Suppl. mat. Table 2). The greatest differences in  $\text{CR}_{\text{light}}$  between sites (2–3 times) were encountered during late rising, low and mid rising water. Similar  $\text{CR}_{\text{light}}$  and lower values occurred at both sites during early rising and early high water (Suppl. mat. Table 2). We found no statistical relationship between CR rates and DOC concentrations among treatments and sites (Pearson correlation  $p > 0.05$ ), but significant relationship between CR rates and Chl- $a$  normalized per DOC (Pearson correlation  $p < 0.05$  for  $\text{CR}_{\text{light}}$  both sites, and  $\text{CR}_{\text{dark}}$  at WP site) (Suppl. mat. Fig. 5).

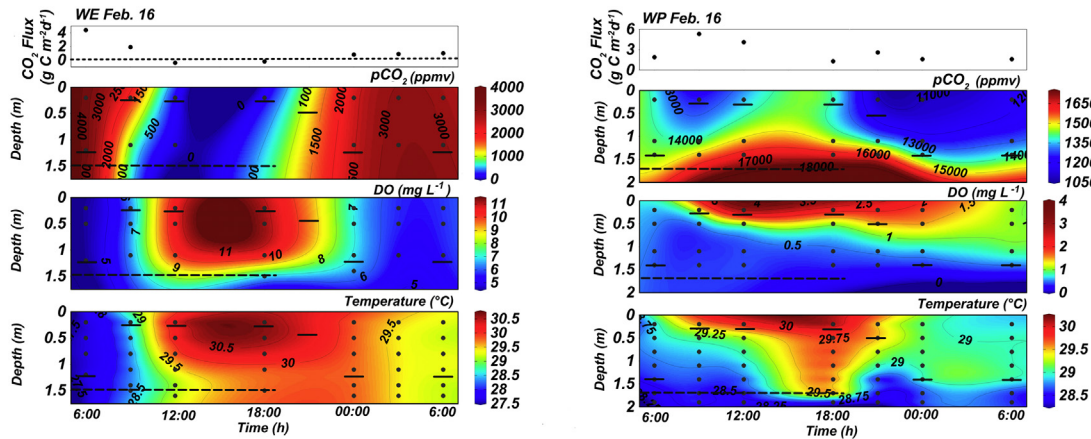
##### 3.2.2. Integrated rates

The largest depth integrated  $\text{GPP}_{\text{zmix}}$  and  $\text{NCP}_{\text{zmix}}$  rates occurred during mid rising water at both sites, followed by the low water period.



**Fig. 4.** Twenty-four-hour time-depth diagrams of  $\text{CO}_2$  partial pressure ( $p\text{CO}_2$ ), dissolved oxygen (DO) concentrations and temperature, and diel variations in  $\text{CO}_2$  flux at the wind exposed (WE) site and wind protected (WP) site during low water period, October 2015. Vertical series of black dots represent measurements made at the indicated time point  $\pm 2$  h. Short horizontal solid lines, represents mixed layer depth based on temperature profiles for each sampling occasion. Dashed line represents the depth of the euphotic zone. Note the negative values in Y-axis for  $\text{CO}_2$  flux for WE site. Color scales among plots, and depths for each site are different for clarity.





**Fig. 5.** Twenty-four-hour time-depth diagrams of  $\text{CO}_2$  partial pressure ( $\text{pCO}_2$ ), dissolved oxygen (DO) concentrations and temperature, and diel variations in  $\text{CO}_2$  flux at the wind exposed site (WE) and wind protected (WP) site during mid-rising water, February 2016. Vertical series of black dots represent measurements made at the indicated time point  $\pm 2$  h. Short horizontal solid lines, represents mixed layer depth based on temperature profiles for each sampling occasion. Dashed line represents the depth of the euphotic zone. Dotted line indicates zero value for  $\text{CO}_2$  flux, values below this line represent in-gassing fluxes. Color scales differ among plots and depths for each site are different for clarity.

Both integrated rates were much greater (5 to 6 times greater) at the WE site compared to the WP site (Fig. 7, Suppl. mat. Table 2). Higher  $\text{GPP}_{\text{Zmix}}$  and  $\text{NCP}_{\text{Zmix}}$  rates coincided with periods with high incident PAR and Chl-*a* values, and also with the highest values of the ratio of  $\text{GPP}_{\text{Zmix}}$ :  $\text{CRL}_{\text{Zmix}}$  (Fig. 7; Suppl. mat. Table 2). Negative  $\text{NCP}_{\text{Zmix}}$  values were recorded at the WE site during the other periods when  $\text{GPP}_{\text{Zmix}}$ :  $\text{CRL}_{\text{Zmix}}$  values were lower than one. The lowest  $\text{NCP}_{\text{Zmix}}$  value at the WP site was recorded during late rising water, the only period when  $\text{GPP}_{\text{Zmix}}$ :  $\text{CRL}_{\text{Zmix}}$  was lower than 1. The lowest  $\text{GPP}_{\text{Zmix}}$  at the WE site

( $0.80 \text{ g C m}^{-2} \text{ d}^{-1}$ ) was recorded during early rising water. Similar low values ( $0.80$  and  $0.95 \text{ g C m}^{-2} \text{ d}^{-1}$ , respectively) were recorded at the WP and WE sites during early high water (Fig. 7).

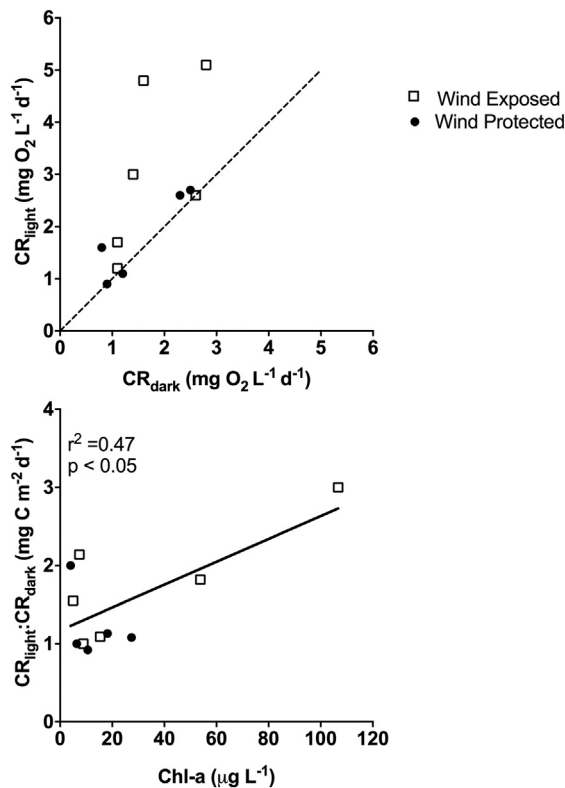
The highest  $\text{CRL}_{\text{Zmix}}$  at the WP site occurred during mid rising water, followed by late rising water, while the lowest rates were recorded at early high water (Fig. 7, Suppl. mat. Table 2). The highest  $\text{CRL}_{\text{Zmix}}$  values at the WE site occurred during early high and falling water, while the lowest values were recorded during early rising water.

### 3.3. Metabolic rates and $\text{CO}_2$ dynamics

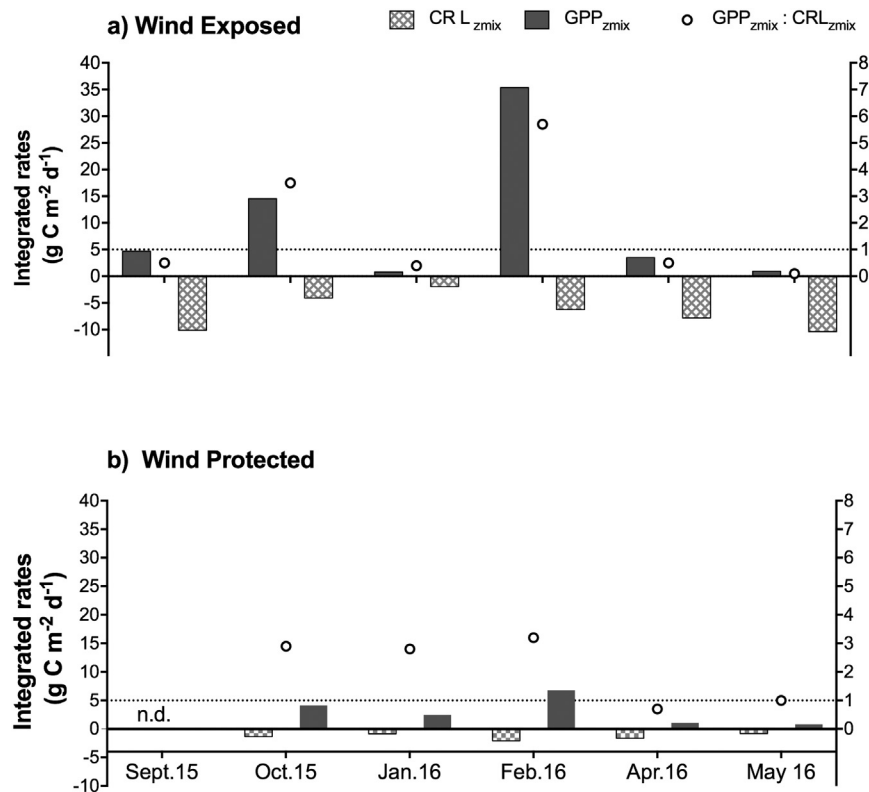
We used average surface  $\text{pCO}_2$  values and  $\text{CO}_2$  fluxes obtained during the diel measurements to facilitate comparisons with metabolic rates. Daily average values of  $\text{pCO}_2$  were higher at the WP site than at the WE site (paired *t*-test,  $p < 0.05$ ). Daily mean  $\text{pCO}_2$  at the WE site was lowest during low water; daily mean  $\text{pCO}_2$  at the WP site in the same period was 160 times higher. At this time, we observed decomposing *P. repens* bordering the WP site. Inside the macrophyte debris, we measured an average  $\text{pCO}_2$  value of  $56,640 \mu\text{atm}$  (minimum  $48,150$ -maximum  $66,290 \mu\text{atm}$ ). The lowest daily average  $\text{pCO}_2$  was encountered at the WP site during falling water, while the highest value was recorded during mid rising water. At the WE site, the highest  $\text{pCO}_2$  value was recorded during the early rising water. Similar high values were encountered at the WE site during late rising water (Suppl. mat. Table 2). These high  $\text{pCO}_2$  values at WE site, coincided with the decay of herbaceous plants that occupied a large portion of the open water region of the lake in early January 2016, when flooding began (Suppl. mat. Fig. 6)

$\text{CO}_2$  fluxes were generally higher at the WE site, except for periods when water was under-saturated in  $\text{CO}_2$  (low water and mid rising water) (Figs. 4 and 5; Suppl. mat. Table 2). The highest daily average  $\text{CO}_2$  fluxes for the WE and WP sites were recorded during early high water. Among all diel measurements, the highest  $\text{CO}_2$  fluxes were recorded during early and late rising water (Suppl. mat. Fig. 4B and C). The lowest average  $\text{CO}_2$  fluxes at the WE and WP sites were recorded during low water and falling water, respectively. For the WE site, in-gassing fluxes were measured over the day and night during low water, and around noon during mid rising water (Figs. 4 and 5). The lowest  $\text{CO}_2$  fluxes at the WP site occurred at the end of rising water and during early rising water (Suppl. mat. Fig. 4B and C).

The difference between measured  $\text{CO}_2$  fluxes and  $\text{NCP}_{\text{Zmix}}$  or  $\Delta$  emission was positive for all periods at both sites, except during early high water at the WE site, where the lowest and only negative  $\Delta$  emission value in the study was recorded (Fig. 8A). The highest  $\Delta$  emission value was recorded during the mid-rising water period at the WE site,



**Fig. 6.** Comparisons between volumetric community respiration (CR) estimates. The upper graph shows the rates estimated from the transparent bottles ( $\text{CR}_{\text{light}}$ ) as a function of the rates estimated in the dark bottles ( $\text{CR}_{\text{dark}}$ ). Dashed line represents the 1:1 relation, open squares represent data obtained from the wind exposed site and filled dots from the wind protected site. The bottom graph in the bottom is the ratio between  $\text{CR}_{\text{light}}$  and  $\text{CR}_{\text{dark}}$  as a function of chlorophyll-*a* (Chl-*a*). The *p* value was obtained from Pearson's correlation test and *r* square by linear regression.



**Fig. 7.** Variation in integrated metabolic rates over the studied period. Bars represent different metabolic parameters; dark grey bar is integrated gross planktonic production ( $GPP_{zmix}$ ), light grey bar filled with squares is community respiration estimated from the light bottle ( $CRL_{zmix}$ ). Open circles represent the ratio between  $GPP_{zmix}$  and  $CRL_{zmix}$ . Abbreviation (n.d.) for the falling water period (September 2015) represents no data. Dotted line represents a value of 1 for the  $GPP_{zmix} : CRL_{zmix}$  ratio.

the same period we registered the highest  $\Delta$  emission values for the WP site. The lowest  $\Delta$  emission for the WP site was registered during the late rising water period. Among the positive  $\Delta$  emission values, five were associated with negative  $NCP_{zmix}$  values,  $GPP_{zmix} : CRL_{zmix}$  less than one (planktonic heterotrophy) and  $CO_2$  out-gassing (Fig. 8, Suppl. mat. Table 2) and six were associated with positive  $NCP_{zmix}$ ,  $GPP_{zmix} : CRL_{zmix} > 1$  (planktonic autotrophy) and  $CO_2$  out-gassing (Fig. 8, Suppl. mat. Table 2). The only negative  $\Delta$  emission value, occurred when  $NCP_{zmix}$  was negative and greater in absolute value than the measured  $CO_2$  flux. At this time, the  $GPP_{zmix} : CRL_{zmix}$  ratio was exceptionally low and the plankton community generated more than enough  $CO_2$  to sustain the observed out-gassing flux (Fig. 8, Suppl. mat. Table 2).

The PCA with all environmental variables separated sampling sites with different levels of wind exposure (Suppl. mat. Fig. 7).  $CO_2$  dynamics was influenced by environmental variables associated with planktonic metabolism (Chl-*a*) and thermal structure ( $Z_{mix}$  and wind speed). Variations in Chl-*a* and  $Z_{mix}$  explained 73% of the observed variance in  $\Delta$  emission while 77% of the variance in  $pCO_2$  was explained by spatial and temporal variations in  $CO_2$  flux and wind speed (multiple linear regressions, Table 1). A single variable, Chl-*a*, explained 54% of the observed variance in  $CO_2$  flux (simple linear regression, Table 1).

### 3.4. Macrophyte and water level variations

The abundance of floating herbaceous macrophytes varied with changes in water level. Near our sites, *P. repens* was the dominant floating species during 2014 and 2015. Exceptionally low water levels recorded during 2015, caused by a severe drought, led to the mortality of *P. repens* and the emergence of large areas of bottom sediment that were quickly covered with *L. spruceana* and *O. rufipogon* (Suppl. mat. Fig. 6). When water levels started to rise in January and February 2016, these areas were inundated and *L. spruceana* senesced and

decomposed. When water levels reached ~5 m (March–April), *O. rufipogon* also senesced and decomposed (Suppl. mat. Fig. 6).

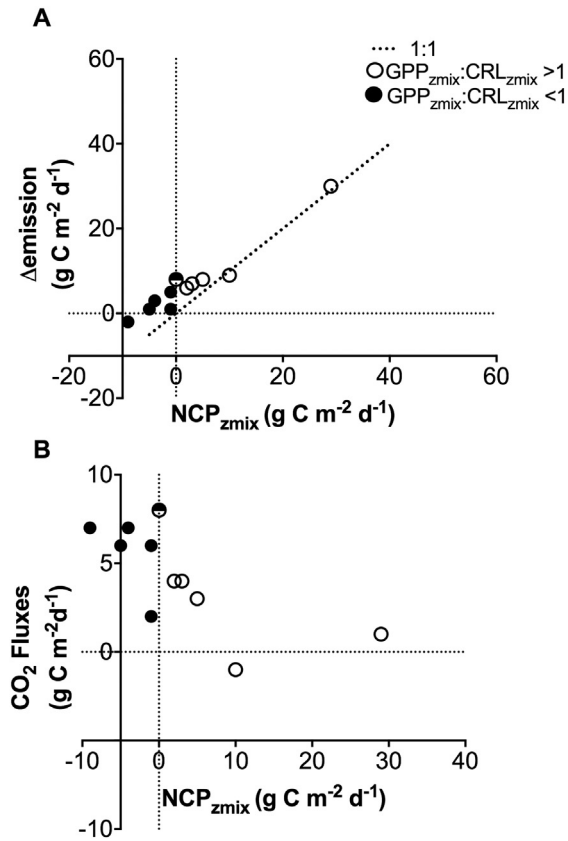
## 4. Discussion

Our results demonstrate that seasonal variations in environmental factors and in the dynamics of floating herbaceous plants, linked to water level fluctuations, can have a strong influence on concentrations and atmospheric fluxes of  $CO_2$  in the open water areas of Amazonian floodplain lakes. Planktonic metabolism had a strong and direct influence on  $CO_2$  dynamics at low water when vegetated habitats were absent and in periods coinciding with high Chl-*a* values and high PAR. Large increases of  $pCO_2$  and  $CO_2$  fluxes coincided with the death and decomposition of rooted herbaceous plants following inundation. However, most of the  $CO_2$  produced during these events was apparently absorbed by  $NCP_{zmix}$  (Fig. 8) and incorporated in plankton community biomass. Variations in wind exposure and, consequently, thermal structure were important factors explaining differences in metabolic rates and  $CO_2$  dynamics between sites in a given season (Table 1, Suppl. mat. Fig. 7). CR measured at night in clear bottles, exposed to sunlight during the day, was higher than that measured in dark bottles through the day (Fig. 6), demonstrating the importance of organic C produced by phytoplankton in fueling plankton CR.

### 4.1. Metabolic rates and environmental variables

Our depth integrated daily GPP estimates ( $GPP_{zmix}$ ) were high compared with values reported for other Amazon floodplain lakes. Melack and Forsberg (2001) reported GPP rates between 0.4 and 1.2 g C m<sup>-2</sup> d<sup>-1</sup> for Lake Calado, similar to the lowest values found in our study. In a study of plankton metabolism in eight central Amazon floodplain lakes, Forsberg et al. (2017) found daily integral GPP to vary between 0.1 and 11.6 g C m<sup>-2</sup> d<sup>-1</sup> with an overall average of





**Fig. 8.** The Influence of integrated net community production ( $NCP_{zmix}$ ,  $g\ C\ m^{-2}\ d^{-1}$ ) on (A)  $\Delta$  emission ( $g\ C\ m^{-2}\ d^{-1}$ ): difference between daily average  $CO_2$  fluxes and estimated  $NCP_{zmix}$  and (B) the daily average  $CO_2$  flux ( $g\ C\ m^{-2}\ d^{-1}$ ). Filled circles represent periods when the ratio between  $GPP_{zmix}$  and  $CRL_{zmix}$  was lower than one, and open circles represent the opposite. Dashed line in Fig. A represents the 1:1 relation, from which it is possible to interpret the residuals.

$2.6\ g\ C\ m^{-2}\ d^{-1}$ , close to the range we found at the WP site ( $0.8$ – $6.8\ g\ C\ m^{-2}\ d^{-1}$ ). The Chl-*a* range that we reported is one order of magnitude higher than the range reported for L. Calado ( $1$ – $10\ \mu g\ L^{-1}$ ) (Melack and Forsberg, 2001), but in the range reported by Forsberg et al. (2017) ( $1.8$ – $142.8\ \mu g\ L^{-1}$ ). The positive linear relationship we found between Chl-*a* specific GPP and average incident PAR (Fig. 3) indicates that average incident PAR did not exceed the saturation value for photosynthesis, and this relationship could be used to estimate daily integral photosynthesis. The highest  $GPP_{zmix}$  values at the WE site coincided with higher Chl-*a* and PAR values (low water and mid rising water), reflecting the causal relationship between these variables (Fig. 3).  $GPP_{zmix}$  values at the WE site for other periods were in the range reported by Forsberg et al. (2017).

In comparison to results reported for other open water environments along the Amazon floodplain, our volumetric CR rates ( $CR_{dark}$  and  $CR_{light}$ ) were higher than those based on dark incubations without exposure to natural sunlight during a complete diel cycle. Our lowest  $CR_{dark}$  respiration rates ( $0.8\ mg\ O_2\ L^{-1}\ d^{-1}$ ), were two times higher than the upper range reported in previous studies in other floodplain lakes, and two to four times the average rates in these studies (de

Rasera, 2010; Vidal et al., 2015). Our highest  $CR_{dark}$  rates for the WP and WE sites were 10 times and 18 times higher, respectively, than the average values cited in these studies. However, our integrated  $CRD_{zmix}$  respiration rates (overall average  $3\ g\ C\ m^{-2}\ d^{-1}$ , minimum  $0.4$  and maximum  $10.1\ g\ C\ m^{-2}\ d^{-1}$ ) were in the range reported in a study of eight central Amazon floodplain lakes, including our study site (Forsberg et al., 2017) (mean:  $6.2\ g\ C\ m^{-2}\ d^{-1}$ , minimum  $0.16$  and maximum  $31.3\ g\ C\ m^{-2}\ d^{-1}$ ). Compared to a study that compiled CR rates in lakes from different latitudes, our rates were similar or higher than the average rates (Solomon et al., 2013).

#### 4.2. Implications and insights from incubations

That we found higher night-time respiration rates in transparent chambers exposed to natural sunlight during the day ( $CR_{light}$ ) than in chambers maintained in darkness throughout the incubation  $CR_{dark}$  (Fig. 6) is relevant to understanding bacterial metabolism and its relation to substrate quality in Amazonian floodplains. As in other studies in the Amazon basin (Benner et al., 1995; Ellis et al., 2012; Vidal et al., 2015; Ward et al., 2016) and elsewhere (del Giorgio and le Williams, 2005a, 2005b; Solomon et al., 2013), we found little correlation between CR and bulk DOC concentrations. In lakes and other aquatic ecosystems along Amazonian floodplains, the DOC pool is a mixture of refractory organic matter and rapidly cycling labile C (Waichman, 1996; Mayorga et al., 2005). Evidence from isotopic measurements of respired  $CO_2$  and fatty acids indicates that bacterial respiration along the central Amazon floodplain is sustained predominantly by DOC from C4 plants (Quay et al., 1992; Waichman, 1996; Mortillaro et al., 2016). Others, with less direct evidence, suggest that terrestrial C is also important (Ward et al., 2013, 2016). Ward et al. (2016) suggest that there is a priming effect on breakdown of both terrestrially derived C and aquatic plants in the presence of algal C. In addition, they suggest that the reactivity of an organic molecule is dependent on the complex interaction between microbial community composition and environmental factors that might vary on short time scales (minutes to hours). Finally, the availability of DOC for bacteria is dependent on molecular composition and size (Amon and Benner, 1996). The higher CR rates that we measured in transparent chambers suggest that organic matter derived from phytoplankton photosynthesis is an important C source for heterotrophic bacterioplankton. The positive correlations we found between Chl-*a* and CR rates measured in both dark and clear chambers (Fig. 6), as well as DOC-normalized Chl-*a* and CR rates (Suppl. mat. Fig. 5) supports this hypothesis and the conclusions of other studies investigating this relationship in Amazonian rivers (Ellis et al., 2012) and other inland waters (Sadro et al., 2011; Morana et al., 2014). However, phytoplankton also contribute directly to plankton CR and part of increase in CR rates observed in the transparent chambers could be due to the increased metabolic activity of phytoplankton at night as they utilize internal C stores accumulated during the day (Forsberg, 1985). Finally, we assumed that CR measured during the night in a chamber exposed to light during previous day was equal to CR occurring during the day. This may have led to an underestimate of daily CR since there is evidence that CR during the day may be higher than that measured at night (del Giorgio and le Williams, 2005a, 2005b; Pringault et al., 2007).

We report the first measurements of planktonic metabolic rates estimated using continuous records of DO in larger (2.1 L) transparent

**Table 1**

Multiple regressions coefficients ( $\beta$ ) and intercept ( $\beta_0$ ) of the best models for delta emission ( $\Delta$  emission), carbon dioxide partial pressure ( $pCO_2$ ), and carbon dioxide fluxes to the atmosphere ( $CO_2$  flux).

	Best model	$\beta_{\log Chl-a}$	$\beta_{\log zmix}$	$\beta_{CO_2\ Flux}$	$\beta_{wind}$	$\beta_0$	$r^2$	$p$	F statistic	AIC
$\log(x + 3)\ \Delta$ emission	$\log Chl-a, \log zmix$	0.456	−0.5792			0.4178	0.73	$1.9 \times 10^{-3}$	14.9(2,8)	−0.355
$pCO_2$	$CO_2\ Flux, wind$			449.6	−3605.3	8777	0.77	$1.1 \times 10^{-3}$	17.9(2,8)	199.53
$CO_2$ flux	$\log Chl-a$	−4.929				9.923	0.54	$5.5 \times 10^{-3}$	13.19(2,8)	49.14

and dark chambers incubated in Amazon floodplain lakes. The ranges reported for NCP, GPP and CR rates in our study were in agreement with a compilation of metabolic rates estimated from diel free water measurements of DO changes in 25 lakes around the globe, with daily, annual and seasonal estimates of GPP ( $0.4\text{--}25\text{ mg O}_2\text{ L}^{-1}\text{ d}^{-1}$ ), NCP ( $-1\text{--}10\text{ mg O}_2\text{ L}^{-1}\text{ d}^{-1}$ ) and CR ( $0.4\text{--}20\text{ mg O}_2\text{ L}^{-1}\text{ d}^{-1}$ ) (Solomon et al., 2013). The use of continuous records of DO in incubations, in parallel with free water measurements, would improve understanding of lake metabolism in floodplains, allowing investigation of the separate roles of pelagic and littoral metabolism on CO<sub>2</sub> dynamics (Van de Bogert et al., 2007). This approach could help to elucidate spatial differences reported in floodplain lakes and associated with the vegetated areas (Hamilton et al., 1995; Rudorff et al., 2011; Peixoto et al., 2016), although the mass balance computations of the free water measurements require to account for lateral and vertical DO input (Sadro et al., 2014). We chose to perform our incubations in closed chambers to isolate these interferences. Transport of lateral and vertical water masses with contrasting DO concentrations has been observed in an Amazonian floodplain lake (Melack and Fisher, 1983; MacIntyre and Melack, 1995) and in other lakes and wetlands (MacIntyre et al., 2010; Tedford et al., 2014; Poindexter et al., 2016). We recommend that future studies compare metabolic rates derived from free water DO sensors and DO sensors insolated in clear chambers to better understand the participation of plankton metabolism and lateral and vertical advection in the observed diel DO changes.

The higher metabolic rates reported here, when compared to earlier Amazonian studies, may be due to three factors: (1) a larger water volume in the incubations (2.1 L compared to volumes equal or <60 mL in most studies), (2) estimates based on continuous DO records and (3) our in situ incubations resulted in slight mixing within the chambers which would keep plankton in suspension. Large incubation containers are more likely to capture larger plankton organisms which contribute to community respiration (Hopkinson and Smith, 2005). Discrete samples at the beginning and end of incubations can lead to underestimates or overestimations of bacterial respiration rates, compared to rates estimated by continuous DO measurements (Briand et al., 2004).

#### 4.3. Plankton metabolism and its influence on CO<sub>2</sub> dynamics

At the WE site during low water (October 2015), surface waters were under-saturated in CO<sub>2</sub> relative to atmospheric equilibrium during the diel cycle and negative CO<sub>2</sub> fluxes were computed (Fig. 4). DO levels were supersaturated during the same period and inversely correlated with CO<sub>2</sub> throughout the day (Figs. 4 and 9), indicating that the variation in both biogenic gases was tightly controlled by planktonic metabolism. The highest volumetric metabolic rates in the study were recorded for both sites during the low water period. When the water level falls, the connection of floodplains lakes to surrounding emergent vegetation is reduced and pelagic processes, like plankton metabolism, tend to dominate CO<sub>2</sub> dynamics (Melack and Engle, 2009; Abril et al., 2013). This may explain the CO<sub>2</sub> dynamics observed at the WE site at low water. However, decomposing herbaceous plants (*P. repens*) may have also contributed to the high pCO<sub>2</sub> values (daily average 8427  $\mu\text{atm}$ ) and high CO<sub>2</sub> fluxes (average  $4.1\text{ g C m}^{-2}\text{ d}^{-1}$ ) observed at the WP site during low water.

In February 2016, one month after the decay of debris from *L. spruceana*, surface waters were super-saturated in CO<sub>2</sub> relative to atmospheric equilibrium and positive CO<sub>2</sub> fluxes were observed at the WE site on a daily basis, in parallel with high positive NCP<sub>zmix</sub>, the highest Chl-*a* in the study, and GPP<sub>zmix</sub>: CRL<sub>zmix</sub> ratio greater than one, indicating planktonic autotrophy, but with consistent out-gassing. During the same period, negative CO<sub>2</sub> in gassing fluxes and waters under-saturated in CO<sub>2</sub> were observed around noon, confirming the dominant influence of planktonic metabolism on the diel CO<sub>2</sub> cycle at this time (Fig. 5). NCP<sub>zmix</sub> was positive and 29 times greater than the daily average CO<sub>2</sub> flux. These results indicate that the majority of the dissolved

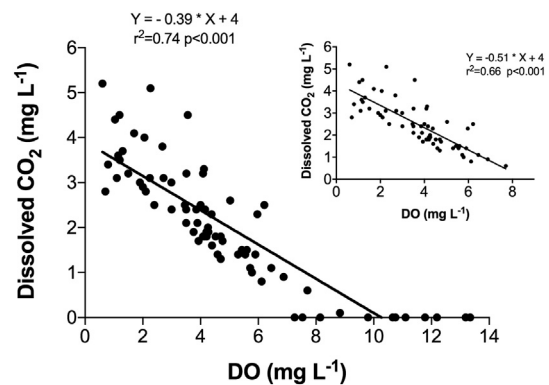


Fig. 9. Dissolved CO<sub>2</sub> concentration as a function of dissolved oxygen (DO). Dots represent all surface records ( $n = 75$ ) over the studied period. The  $p$  value was obtained by Person's correlation test and  $r$  square by linear regression. Top smaller graph shows the same correlation, but with dissolved CO<sub>2</sub> values greater or equal to  $0.6\text{ mg L}^{-1}$ .

CO<sub>2</sub> generated by the decaying plants was taken up by the planktonic community and converted into biomass. What was confirmed by the highest GPP<sub>zmix</sub> value recorded in our study during the same period. Results from batch incubations with a variety of plant species present in Lake Janauacá, demonstrated the release of large amounts of nutrients during decomposition (Mortillaro et al., 2016). A similar release of nutrients and CO<sub>2</sub> presumably sustained the high levels of NCP<sub>zmix</sub> observed during this period.

Bogard and del Giorgio (2016), studying 187 boreal lakes, estimated net ecosystem production (NEP) indirectly from deviations in DO saturation under a steady state assumption. They demonstrated that even lakes with net planktonic autotrophic conditions (one third of the studied lakes, with positive  $\Delta$  emission and NEP values) are likely sources of CO<sub>2</sub> to the atmosphere, due to external inputs of CO<sub>2</sub> and that NEP in planktonic heterotrophic lakes can mirror the external inputs of CO<sub>2</sub>. Negative  $\Delta$  emissions, in this context, denoted situations where the excess of CO<sub>2</sub> produced by NEP was more than sufficient to support the CO<sub>2</sub> emissions, similar to what we observed during the early high water at the WE site, considering only plankton community NCP.

In our study,  $\Delta$  emission represented the balance between net daily CO<sub>2</sub> production by the plankton community and net daily CO<sub>2</sub> emission to the atmosphere. When  $\Delta$  emission is zero, all emissions can be accounted for by net planktonic CO<sub>2</sub> production or respiration by the plankton community. When it is negative, net planktonic CO<sub>2</sub> production exceeds emission and when it's positive, other sources of CO<sub>2</sub> are required to account for both emission and net carbon uptake by the plankton community. The relative contributions of NCP<sub>zmix</sub> and CO<sub>2</sub> emissions to  $\Delta$  emission can be evaluated by plotting  $\Delta$  emission against NCP<sub>zmix</sub> (Fig. 8A). The distribution of points is described by the relationship:

$$\Delta \text{ emission} = \text{NCP}_{\text{zmix}} + \text{net daily CO}_2 \text{ emission}$$

When net CO<sub>2</sub> emission is zero, all data are expected to fall along a 1:1 line where  $\Delta$  emission = NCP<sub>zmix</sub> (Fig. 8A). Positive deviations from this line represent the additional contribution of net daily CO<sub>2</sub> emission to  $\Delta$  emission. Values of NCP<sub>zmix</sub> greater or <1 represent situation where plankton metabolism is autotrophic or heterotrophic, respectively.  $\Delta$  emission was strongly correlated to NCP<sub>zmix</sub> in this study ( $r^2 = 0.94$ ,  $p < 0.001$ ,  $n = 11$ ), with most points falling close to the 1:1 equivalence line, indicating the dominant influence of plankton metabolism on the CO<sub>2</sub> dynamics of this lake. The equivalence between  $\Delta$  emission and NCP<sub>zmix</sub> was strongest when plankton metabolism was autotrophic (NCP<sub>zmix</sub> > 1) and increased with the level of NCP<sub>zmix</sub>, reaching near equivalence at the highest NCP<sub>zmix</sub> rates observed at the wind exposed sites during low and mid rising water. Positive deviations for the 1:1 line represented the additional contribution of net CO<sub>2</sub>

emission to  $\Delta$  emission. These deviations tended to be highest and represent a large fraction of  $\Delta$  emission when plankton metabolism was heterotrophic ( $NCP_{z_{mix}} < 1$ ), although small deviations and net emission fluxes were also observed under most autotrophic conditions, except those associated with the highest positive  $NCP_{z_{mix}}$  values (Fig. 8A). The significant regressions obtained for  $\Delta$  emission against Chl-*a* (positive effect) and  $Z_{mix}$  (negative effect) and for net  $CO_2$  emission against Chl-*a* (inverse relationship) (Table 1) indicate the strong influence of these two variables on diel  $CO_2$  dynamics. High levels of Chl-*a* or phytoplankton biomass generally reflected high  $NCP_{z_{mix}}$  which was positively related to  $\Delta$  emission (Fig. 8A) and negatively related to  $CO_2$  emissions (Fig. 8B) in the present study. The effect of  $Z_{mix}$  is more complex, as it controls the balance between  $NCP_{z_{mix}}$  and  $CRL_{z_{mix}}$  in the water column (Sverdrup, 1953). As  $Z_{mix}$  increases the proportion of the plankton community present in the euphotic zone declines as does the ratio of  $GPP_{z_{mix}} : CRL_{z_{mix}}$  until a critical depth is obtained where this ratio equals 1 and  $NCP_{z_{mix}}$  is zero (Sverdrup, 1953). Above this depth  $NCP_{z_{mix}}$  is positive and plankton community biomass and below it biomass declines. Since  $Z_{mix}$  has a negative relationship with  $NCP_{z_{mix}}$  it also tends to have a negative relationship with  $\Delta$  emission (Fig. 8A).

These results illustrate the central role that plankton metabolism plays in the C dynamics of open water environments along the Amazon floodplain, but also demonstrate the importance of other sources of nutrients, DOC and  $CO_2$  necessary for sustaining high rates of  $CO_2$  outgassing and net plankton community production in these environments (Abril et al., 2013; Borges et al., 2015b; Melack, 2016). These materials could be produced in other wetland environments surrounding these open water areas, such as herbaceous plant beds and floodplain forest, and then transported to these sites by lateral advection or in vegetated habitats covering open water environments at low water that, once flooded, release nutrients, DOC and  $CO_2$  to the overlying waters. The dynamic flow patterns in Amazon floodplain lakes (Lesack and Melack, 1995; Bonnet et al., 2008; Rudorff et al., 2014; Bonnet et al., 2017) may have also influenced our results, either by homogenizing organic C and  $CO_2$  from dominant sources (Waichman, 1996) or by accentuating the relative contribution of contrasting C sources (Moreira-Turcq et al., 2013) at the WE and WP sites in our study.

Among inland waters, tropical rivers, lakes and floodplains account for the majority of the global estimates of  $CO_2$  evasion to the atmosphere (Raymond et al., 2013; Borges et al., 2015b; Melack, 2016). These floodplains have important roles in sustaining  $CO_2$  emissions measured in river channels (Richey et al., 1990; Abril et al., 2013; Borges et al., 2015a, 2015b; Ward et al., 2015, 2016). Melack (2016) noted that about 90% of the lowland Amazon emissions are associated with lakes, floodplains and other wetlands. The diel and seasonal variability in  $CO_2$  fluxes measured in our study emphasizes the needs for studies on multiple temporal and spatial scales to elucidate uncertainties in regional estimates (Melack, 2016). The differences encountered between measured  $CO_2$  emissions and  $CO_2$  derived from  $NCP_{z_{mix}}$  ( $\Delta$  emission), strengthens the argument that floodplains are important sources of DOC and  $CO_2$  sustaining  $CO_2$  emissions in the lowland Amazon. We observed higher  $pCO_2$  in the wind protected site compared to the wind exposed site.  $pCO_2$  was inversely related to wind velocity and directly related to  $CO_2$  flux in a multiple regression model that explained 77% of  $pCO_2$  variance.  $CO_2$  produced by bacterial respiration and aquatic vegetative root respiration are likely to accumulate in bays that are wind protected, where stability of the water column favors the development of anoxic layers with anaerobic metabolism that contribute to greater  $CO_2$  concentrations (Suppl. mat. Fig. 4A, B and C), as well as  $CH_4$ .

Extreme hydrological events are increasingly common in the Amazon region (Marengo and Espinoza, 2016). Two severe droughts were widely reported for the Amazon basin in 2005 and 2010, in association with tropical Atlantic warming and El Niño–Southern Oscillation events (Marengo et al., 2008, 2011; Zeng et al., 2008). Sorribas et al. (2016) analyzed the possible impact of projected climate change scenarios on

discharge and precipitation regimes in the Amazon basin, and predicted significant reductions in the extent of inundated areas during low water in the central and lower Amazon. A strong El Niño event in 2015–2016 during our study period resulted in a severe drought (Fig. 2) (Jiménez-Muñoz et al., 2016) causing Lake Janauacá to become almost completely dry at low water (Suppl. mat. Fig. 6), exposing vast areas of lake bottom which were rapidly colonized by herbaceous rooted plants. This resulted in an atypically large input of organic C to the lake when these areas were flooded, and exceptionally high levels of  $CO_2$  concentrations and surface emissions. An increase in the frequency of this type of event could have important consequences for the C balance of lakes along the central Amazon floodplain. The expected increase in  $CO_2$  degassing from floodplain lakes could be balanced by photosynthetic uptake by macrophytes causing no effective change in net  $CO_2$  emission. However, the additional rooted plant biomass might also lead to enhanced carbon burial in floodplain sediments, resulting in the net sequestration of atmospheric  $CO_2$  (Smith et al., 2002; Moreira-Turcq et al., 2004).

## 5. Conclusions

This study demonstrates that carbon assimilation by phytoplankton can substantially reduce open water  $CO_2$  emissions during periods of large scale mortality and decay of inundated herbaceous plants. The large variations in  $CO_2$  concentrations observed here over 24 h periods and their influence on  $CO_2$  emission have important implications for regional estimates of net C emissions from lakes, which are mostly based on short-term (1–20 min) once daily measurements in open water areas. We conclude that variations in plankton metabolism and wind mediated mixing, linked to spatial and seasonal changes in lake morphometry, have a strong influence on the  $CO_2$  dynamics in Amazon floodplain lakes. Sites with more wind exposure have higher NCP and accumulate less dissolved  $CO_2$  than wind protected areas. We recommend that future studies investigating  $CO_2$  dynamic in lakes consider the influence of variations in plankton metabolism, lake morphometry and stratification patterns at multiple spatial and temporal scales.

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## Appendix A. Supplementary data

Supplementary material includes: (i) a figure showing details of the periods considered in calculations for the different CR estimates, (ii) a flow chart with a summary of the methodology, (iii) figure showing the differences in wind frequency and intensity at the two lake regions investigated in our study, (iv) the representation of diel diagrams of  $pCO_2$ , DO, temperature and  $CO_2$  flux for the other periods investigated



in our study at the two regions of the lake that differ in their wind exposure. (v) CR rates as a function of Chl-*a* normalized per DOC for the WE and WP sites, (vi) Sequential Landsat images showing the changes that occurred in the lake during the extreme drought which affected the herbaceous dynamics, (vii) PCA representation of the environmental variables considered in our study, and a table with all abbreviations used at the manuscript and a table with all data used. Supplementary data to this article can be found online at <https://doi.org/10.1016/j.scitotenv.2018.02.331>.

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